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| 09/804,815        | 03/13/2001  | Scott G. Newnam      | 109.779.129           | 2040             |
| 23483             | 7590        | 09/18/2008           |                       |                  |
| WILMERHALE/BOSTON |             |                      | EXAMINER              |                  |
| 60 STATE STREET   |             |                      | SWEARINGEN, JEFFREY R |                  |
| BOSTON, MA 02109  |             |                      |                       |                  |
|                   |             |                      | ART UNIT              | PAPER NUMBER     |
|                   |             |                      | 2145                  |                  |
|                   |             |                      | NOTIFICATION DATE     | DELIVERY MODE    |
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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

michael.mathewson@wilmerhale.com  
teresa.carvalho@wilmerhale.com  
sharon.mathews@wilmerhale.com

### Office Action Summary

**Application No.**

09/804,815

**Applicant(s)**

NEWNAM ET AL.

**Examiner**

Jeffrey R. Swearingen

**Art Unit**

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**Period for Reply** -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 20 May 2008.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-35 and 46-58 is/are pending in the application.
- 4a) Of the above claim(s) 4 and 20 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-3, 5-19, 21-35 and 46-58 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO/SB/C)
- 4) ☐ Interview Summary (PTO-413)
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_
- Paper No(s)/Mail Date 20080609, 20080906

## DETAILED ACTION

### *Response to Arguments*

1. Applicant's arguments filed 5/20/2008 have been fully considered but they are not persuasive.
2. Applicant misunderstood the argument raised in the last office action. "The web page uses conventional HTTP, which is a specific type of layout irrespective of the video program." The emphasis is not on the transport protocol HTTP, but what is implied by the use of HTTP. When HTTP is used, it implies that the web page is transferred in a standard fashion. This is directly extracted from Walker, column 2, lines 2-3, referencing a webpage such as <http://www.spe.sony.com/pictures/tv/seinfeld.htm>. As can be noted, the http address referenced in Walker also ends with the file extension .htm. The .htm file extension implies that the web page is written using HTML code. HTML code includes formatting tags for the web page, such as font size, window size, and frame position. The presence of HTML formatting tags in a standard web page transmitted using HTTP is a *specific type of layout irrespective of the video program*. The *irrespective of the video program* aspect further plays because both HTTP and HTML are standardized protocols which are not application specific. The tags referenced above are the *message type identifiers*.
3. Applicant's radio button example for *minimiz[ing] data transfer over the data communications network by avoiding transmitting with the message specific graphics data that achieves the particular layout format* is also the HTML coding within the standard HTTP web page. Radio buttons are a standard type of HTML code – type="radio".
4. One of ordinary skill in the art is well aware of widely available public information detailing basic HTML coding techniques, from its design and publication as a standard by the W3C in 1996, a publication as an RFC in 1996, and the standardization of HTML as an ISO/IEC international standard in 2000.

### *Claim Rejections - 35 USC § 102*

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

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(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

6. Claims 1-35 and 46-56 are rejected under 35 U.S.C. 102(e) as being anticipated by Walker et al. (US 6,263,505 B1).

7. In regard to claim 1, 17, 46, 49, Walker disclosed:

*receiving client software for a client to operate on a user-based hardware device that has the ability to control a display, the client software including:*

*base software for causing ITV information to be displayed on the display and including software responsive to messages relating to different types of ITV interactivity,*  
column 7, lines 33-47

*program content applicable to a plurality of television episodes of the television program,* column 4, lines 55-62 and column 4, line 27

*episode content applicable to a particular one of the plurality of television episodes of the television program, wherein the program content and the episode content are downloaded to the client before the beginning of the particular television episode, the episode content including ITV components with ITV information for later display during the particular television episode and being received by the client before the beginning of the particular television episode, the interactive ITV components being displayed during the particular television episode in accordance with predetermined times or in response to messages from the server and prompting user interaction in response to the display of the ITV components;* column 6, line 43 – column 7, line 17

*during the particular television episode the client receiving from the server over the data communications network a message associated with at least one of the downloaded ITV components related to the particular television episode, the message including a message type identifier selected from a plurality of predefined message type*

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*identifiers, wherein the message type identifier identifies a specific layout template irrespective of the television program for displaying at least one of the downloaded ITV components; and column 7, lines 33-62*

*the base software receiving the message, retrieving from the message the associated message type identifier, retrieving the layout template information stored in the client specific to the message type identifier, and formatting the at least one of the downloaded ITV components in a particular layout format based on the retrieved layout information for causing to be displayed on the display the at least one of the downloaded interactive components in the particular layout format, wherein the message type identifier minimizes data transfer over the data communications network by avoiding transmitting with the message specific graphics data that achieves the particular layout format. Column 7, lines 48-62*

8. In regard to claim 2, 18, Walker disclosed:

*for a next episode, receiving new episode content with components related to the next episode prior to the beginning of the next episode without again receiving the program content and the base software. Column 10, lines 1-6*

9. In regard to claim 3, 19, Walker disclosed:

*for an episode of a different program, receiving a different program content and a different episode content without again receiving the base software prior to the beginning of the episode of the different program, and using the base software with the different program content and the different episode content for the episode of the different program. Column 7, lines 48-62*

10. In regard to claim 5, 21, Walker disclosed:

*ITV components include a question to which a user can respond. Column 5, lines 24-35*

11. In regard to claim 6, 22, Walker disclosed:

*the ITV components include a trivial question and a poll. Column 5, lines 24-35*

12. In regard to claim 7, Walker disclosed:

*the message includes the message type identifier corresponding to the layout template for trivia questions, and includes text for a particular trivia question, the base software being responsive to the message type identifier corresponding to the layout template for trivia questions and formatting and displaying the text in the particular layout format corresponding to trivia question layouts. Column 5, lines 24-35*

13. In regard to claim 8, Walker disclosed:

*the formatting further includes displaying a point count for the particular trivia question that changes with time. Column 5, lines 24-35*

14. In regard to claim 9, 23, Walker disclosed:

*the base software, responsive to a user entering an answer, causes the answer to be provided to the server to determine if it is correct. Column 5, lines 24-35*

15. In regard to claim 10, 23, Walker disclosed:

*the base software, responsive to a user entering an answer, checks the answer against an answer stored as part of the episode content. Column 5, lines 24-35*

16. In regard to claim 11, 23, Walker disclosed:

*one of the client and the server corrects the answer, the client receiving from the server and displaying a point total for multiple users interacting at the same time with the server.*  
Column 5, lines 24-35

17. In regard to claim 12, 24, Walker disclosed:

*the client is presentation layer independent. Column 9, lines 10-23*

18. In regard to claim 13, 25, Walker disclosed:

*the client operates with a particular type of presentation software. Column 9, lines 10-23*

19. In regard to claim 14, Walker disclosed:

*the client sending messages from the user to the server and receiving messages from other users via the server in a chat functionality during the particular episode of the program.*  
Column 5, lines 24-35

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20. In regard to claim 15, Walker disclosed:

*the episode content includes a plurality of advertisements, the base software being responsive to messages for causing one of the advertisements to be displayed. Column 5, lines 50-61*

21. In regard to claim 16, Walker disclosed:

*the client is responsive to the receipt of the message and audio or video information for synchronizing the audio or video information to the type of interactivity indicated by the message. Column 7, lines 48-61*

22. In regard to claim 26, Walker disclosed:

*invoking a software interface for managing the server and providing messages to and receiving messages from the server. Column 9, lines 10-23*

23. In regard to claim 27, Walker disclosed:

*the server provides messages received from users to the software interface during the episode, wherein the software interface is further invoked to transmit responses to the server for forwarding to the users. Column 9, lines 10-23*

24. In regard to claim 28, Walker disclosed:

*at least some questions for responses are transmitted by the software interface to the server, and thereafter to the users on-the-fly during the particular episode. Column 5, lines 24-61*

25. In regard to claim 29, Walker disclosed:

*the program is a television program, wherein the software interface is invoked to provide the program or episode content to the server for transmission to the users, the program or episode content being stored and timed to be received by the user in such a way that the program or episode content is synchronized to the television program to display content relevant to the program at that time. Column 5, lines 24-61*

26. In regard to claim 30, Walker disclosed:

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*the program or episode content is provided to be stored in advance of being displayed and is timed to appear at selected times.* Column 9, line 41 – column 10, line 6

27. In regard to claim 31, 47, 50, Walker disclosed:

*the software interface receives supplemental content in response to a human input and provides the supplemental content on the fly as a supplement to the program or episode content provided to be stored in advance.* Column 5, lines 24-35; column 9, line 41 – column 10, line 6

28. In regard to claim 32, Walker disclosed:

*the software interface receives supplemental content in response to a human input and provides the supplemental content on the fly to appear at a desired time such that the supplemental content is relevant to the program at the desired time.* Column 5, lines 24-35

29. In regard to claim 33, Walker disclosed:

*the episode content includes a plurality of advertisements for client storage, the server sending messages to indicate to the client that the client should cause one of the stored advertisements to be displayed.* Column 5, lines 50-61

30. In regard to claim 34, Walker disclosed:

*the server provides audio or video information synchronized to textual messages to cause the textual messages to be displayed with the audio and/or video content.* Column 8, lines 6-37

31. In regard to claim 35, Walker disclosed:

*messages can be provided to thousands of users at the same time.* Column 9, lines 10-23

32. In regard to claim 48, Walker disclosed:

*prior to receipt of any of a plurality of episodes of the video program, receiving common content related to the plurality of episodes, and prior to each episode, downloading content particular to that episode.* Column 10, lines 1-6

33. In regard to claim 51, Walker disclosed:



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*at least one of the interactive components is displayed based on predetermined times during the particular episode.* Column 9, lines 41-59

34. In regard to claim 52, Walker disclosed:

*further interactive components are received and displayed on-the-fly.* Column 5, lines 24-61

35. In regard to claim 53, Walker disclosed:

*the predefined message type identifiers are selected from a group consisting of facts, trivia questions, and poll questions.* Column 5, lines 24-61

36. In regard to claim 54, Walker disclosed:

*the interactive components prompt user responses to the trivia or poll questions.* Column 5, lines 24-61

37. In regard to claim 55, Walker disclosed:

*wherein the client software is stand-alone application.* Column 10, lines 11-12

38. In regard to claim 56, Walker disclosed:

*wherein the client software is a web-browser application.* Column 10, lines 1-6

39. In regard to claim 57, Walker disclosed:

*the graphics data is preloaded by the client and the base software retrieves the preloaded graphics data that achieves the particular layout format.* HTML encoding. Column 2, lines 2-3

40. In regard to claim 58, Walker disclosed:

*the base software dynamically formats the at least one of the ITV components based on the message type identifier.* HTML tags. Column 2, lines 2-3.

### **Conclusion**

41. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

42. Snyder et al. US 7,024,677

43. Madrane US 6,573,907

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44. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jeffrey R. Swearingen whose telephone number is (571)272-3921. The examiner can normally be reached on M-F 8:30-5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jason Cardone can be reached on 571-272-3933. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Jeffrey R. Swearingen  
Examiner  
Art Unit 2145

/J. R. S./  
Examiner, Art Unit 2145

/Jason D Cardone/  
Supervisory Patent Examiner, Art Unit 2145